

ADULT PROTECTIVE SERVICES Annual Report

January 2008



*Division of Licensing and Protection
Department of Disabilities, Aging, and Independent Living*

ADULT PROTECTIVE SERVICES

Introduction

Adult Protective Services (APS) is a cornerstone of Vermont's system for protecting vulnerable adults from abuse, neglect and/or exploitation. APS is a unit in the Division of Licensing and Protection (DLP) within the Department of Disabilities, Aging and Independent Living (DAIL). The investigative and protection activities of APS are governed by Chapter 69 of Title 33, Vermont Statutes Annotated and include a variety of services to investigate reports of abuse, neglect or exploitation, address identified problems or prevent further abuse from occurring.

The goals of Adult Protective Services are to promptly and thoroughly investigate allegations of abuse, neglect and exploitation, to increase awareness of adult abuse in all of its forms, to provide information about alternatives and services for vulnerable adults who are the victim of abuse and to increase the reporting of suspected abuse. In conducting investigations and arranging protective services, APS makes every effort to respect the wishes of the vulnerable adult. This report to the Legislature includes recent data about APS investigations of abuse, neglect and exploitation along with associated budget information.

General Information

The APS unit operates on an annual general fund budget of \$741,600 and has a dedicated staff of 10 employees: one Program Chief, seven Field Investigators, one full time office based Investigative Screener, and one full time Intake Specialist. The Intake Specialist is the first person to receive a complaint via the 24 hour toll-free abuse reporting telephone line, fax, or by an online reporting form sent electronically. The Intake Specialist collects pertinent data on the complaint, enters it into the appropriate data base, and channels the information to either the Licensed Nurse Surveyor or Screener who handles facility complaints or the APS Investigative Screener who handles complaints related to the general public.

Reporting

A report can be made to APS in a variety of ways. One of the easiest ways to report suspected abuse is by calling the Division of Licensing and Protection's reporting and complaint toll-free number 1-800-564-1612. The toll free number

is available to any individual to report abuse* or suspected abuse of vulnerable adults. The toll free line is answered twenty-four hours a day, seven days a week. APS staff answers the phone during normal business hours, between 7:45 a.m. and 4:30 p.m. After hours, weekends and holidays, the caller is directed to call the Emergency Services Program (ESP), a 24-hour abuse-reporting unit within the Agency's Department for Children and Families. ESP provides referral information to appropriate emergency services, if necessary, and forwards the written report to APS on the next business day. Written reports, including those sent by fax, may be submitted in lieu of, or in addition to telephone reports. Reports also may be submitted by TTY or by using the DAIL web page www.dail.state.vt.us/lp/aps.htm.

When the Investigative Screener, in conjunction with the APS Chief, makes the decision to open a case for investigation, the investigation commences within 48 hours of receiving a report. Typically, the first contact is a telephone call to the reporter. The screener prioritizes all calls, identifies risk, and responds accordingly by notifying law enforcement or other emergency response services if appropriate, and assigning an investigator to examine the allegations.

If a reported incident (or allegation) does not meet the definition of abuse or “vulnerable adult” as set forth in Title 33 V.S. A. § 6902, the intake information is referred to the service agency appropriate to the individual's age or disability for review and intervention. A letter is sent to both the reporter and the alleged victim informing them of the decision to open an investigation or not and the rationale. The letter also tells the reporter and the alleged victim that if they are in disagreement with the APS decision they may request a review of the decision by the Commissioner of the Department of Disabilities, Aging and Independent Living.

Investigation Process

All reports of abuse, neglect and exploitation are received by the Intake Specialist and forwarded to an Investigative Screener. Allegations of abuse, neglect and exploitation must meet the criteria for investigation. In order for an APS investigation to commence, the alleged victim must be a vulnerable adult as defined in Title 33 V. S. A. §6902 (14), and the alleged incident must meet the definition of abuse, neglect or exploitation set forth in Title 33 V. S. A. § 6902 (1), (6) or (7). See Appendix A.

Investigations are assigned to one of seven APS field investigators based on the geographical location of the alleged victim. Due to increasing numbers of cases and severity of the allegations, overlap of territories has become necessary. The APS investigators gather information about a reported incident by interviewing witnesses, including the reporter if applicable, reviewing relevant documents

* For this report, abuse also includes exploitation and neglect.

and collecting other pertinent information. At the same time, the investigators coordinate services necessary to develop a protection plan for the vulnerable adult and referrals are made to the appropriate agencies to address specific needs of the individual. At the conclusion of the investigation, the APS investigators write a report describing the investigation and the evidence gathered. If the division decides to recommend substantiation, the individual is informed by registered letter of the basis for the substantiation and information on how to appeal. Their first opportunity to appeal is to the Commissioner of DAIL. If the Commissioner decides to uphold the substantiation, the individual is informed of the result in writing and is told how to appeal to the Human Service Board. If the Human Service Board upholds the substantiation, the individual's name is placed on the APS Abuse Registry. A request to expunge a name from the APS Abuse Registry is sent to the Commissioner.

Protective Services Process

During the course of an investigation, the investigator is also responsible for determining whether the victim needs protective services. One of the most important goals of the APS investigation is the protection of vulnerable adults who are at risk of abuse, neglect or exploitation. Adult Protective Services does not provide direct care or services to individuals, nor does it provide on-going case management. To accomplish this goal of protection, APS relies on other service providers and agencies, including the Area Agencies on Aging (AAAs), Home Health Agencies, the Department of Mental Health, local mental health and developmental services agencies, the Vermont Center for Independent Living, Vermont Protection and Advocacy, local and state police, the department's Office of Public Guardian and the courts. Working collaboratively with staff from these agencies, with the consent of the victim, APS is able to develop protection plans to prevent further abuse. See tables for referral information. Much of this work is reflected in the technical assistance data records.

Adult Protective Services 2007 Data Report

In SFY 2007, the APS unit received 2916 calls on the reporting line. Of those calls 1913 unduplicated reports were made from 2065 reporters. Embedded in those reports were 2468 separate allegations of abuse, neglect or exploitation. 1064 reports were closed at screening. 420 of those did not meet the statutory definition of abuse, neglect, or exploitation, and 209 were closed because the information was too insufficient to proceed. In 193 cases APS determined that the individual was not considered vulnerable by Title 33 definitions and identified an additional reason for the decision. APS data identified 129 cases that were closed solely because they did not meet the Title 33 definition of a vulnerable adult. 189 cases were closed and referred to another resource such as Legal Aid. Investigators conducted 849 investigations including 61 self

neglect cases for vulnerable individuals under age 60. APS referred 155 cases of self neglect for individuals age 60 and older to AAA.

APS recommended substantiations of 90 allegations against 62 perpetrators. As a result, 39 new names were added to the APS abuse registry as of the date of data collection.¹ Presently there are 87 APS cases that are unresolved. (See attached tables).

Licensed Facilities and Title 33

The Division of Licensing and Protection also receives reports about Title 33 issues that involve licensed facilities including hospitals, nursing homes, and residential care homes plus home health agencies. Licensed nurse surveyors, specifically cross trained and experienced, conduct these investigations. There were 991 reported allegations in the areas of abuse, neglect or exploitation of vulnerable adults in facilities or of patients receiving services from a home health agency. Of the 991 reported allegations, staff substantiated 247 of the allegations of deficient practice. 39 of the cases were unresolved at the time the data were gathered for this report. Allegations were substantiated against five individuals under Title 33 as the result of these facility-based or home health-based allegations.

Referrals

When APS receives a report that involves any of the following organizations, a referral is sent via fax or phone to the Medicaid Fraud and Residential Abuse Unit of the Attorney General's Office; the Office of Public Guardian; Law Enforcement Agencies; Vermont Protection and Advocacy; Area Agencies on Aging; appropriate health care and case management agencies; and the Office of Professional Regulations.

Over the past year, the Division referred 310 reports to MFRAU. APS also notifies the Office of Professional Regulation about abuse complaints involving licensed care providers. This year APS referred 15 cases to the Office of Professional Regulations. APS also refers some cases to law enforcement agencies for investigation and possible prosecution. This year APS referred 214 cases to law enforcement agencies. APS referred 16 cases to the DLP Survey and Certification Program and 155 self neglect cases of vulnerable adults age 60 and over to the AAAs. (See attached tables).

Referrals were made to other services providers including five to the Division of Vocational Rehabilitation, 18 to the battered women's network, 122 to home

¹ A person is added to registry only when he/she has been through all of the appeal processes and the substantiation has been upheld. Pending substantiations are not counted. Only reports received during the fiscal year are counted.

health, 171 to mental health agencies, 249 to area agencies on aging, two to Vermont Center for Independent Living, 43 to the Choices for Care Waiver, 31 for legal assistance, 20 to assistance for guardianship, 55 for restraining orders, 243 for technical assistance for prevention, and 199 other unspecified referrals.

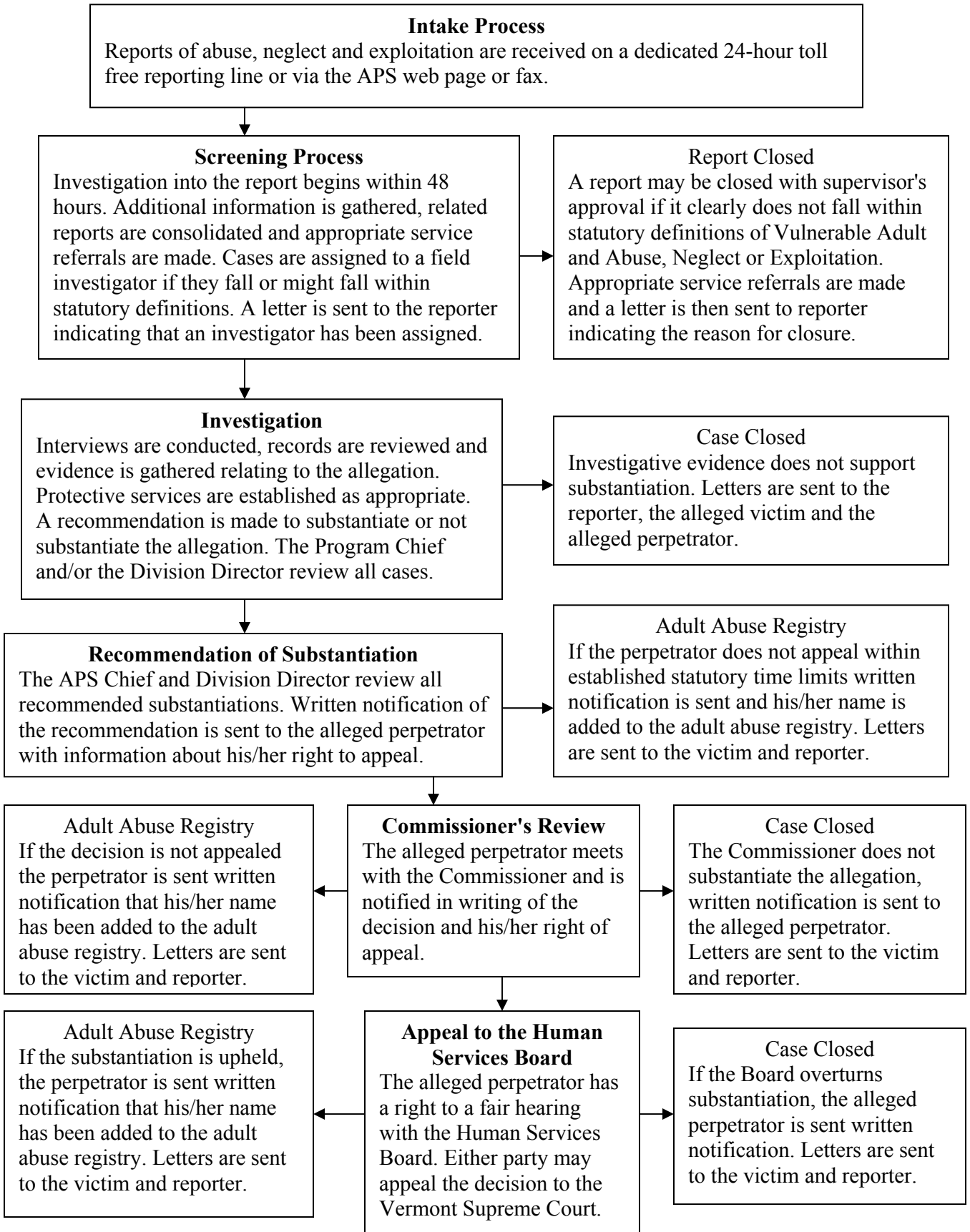
Registry Checks

The Adult Abuse Registry is maintained by APS. All employers of persons providing direct care to a vulnerable adult can obtain information about whether an individual's name is on the Adult Abuse Registry by making a written request, with the consent of the applicant or employee. The Adult Abuse Registry can be checked for either prospective or current employees and for either volunteer or paid employees. Licensed and certified health care providers are prohibited by regulation from hiring direct care workers whose names appear on the Registry. Currently there are 902 names on the registry, the first dating back to 1993. APS and DLP staff processed 33,545 registry checks during this reporting period and only 7 were found with positive results.

Community Education

The APS Program Chief, in conjunction with the Medicaid Fraud Unit (MFU), is involved with ongoing activities to increase public awareness of abuse, including what to watch for and what to report. In addition, these education programs are geared toward increasing awareness of problems that vulnerable adults face and providing training in abuse prevention efforts. In this reporting year APS produced a new PowerPoint presentation which was presented to 42 educational programs, involving over 1,000 people. Audiences included individuals from citizen groups, colleges, nursing homes, hospitals, mental health agencies, home health agencies, area agencies on aging, residential care homes, law enforcement agencies, adult day programs, transportation companies responsible for transporting vulnerable adults, and the Vermont State Police Academy.

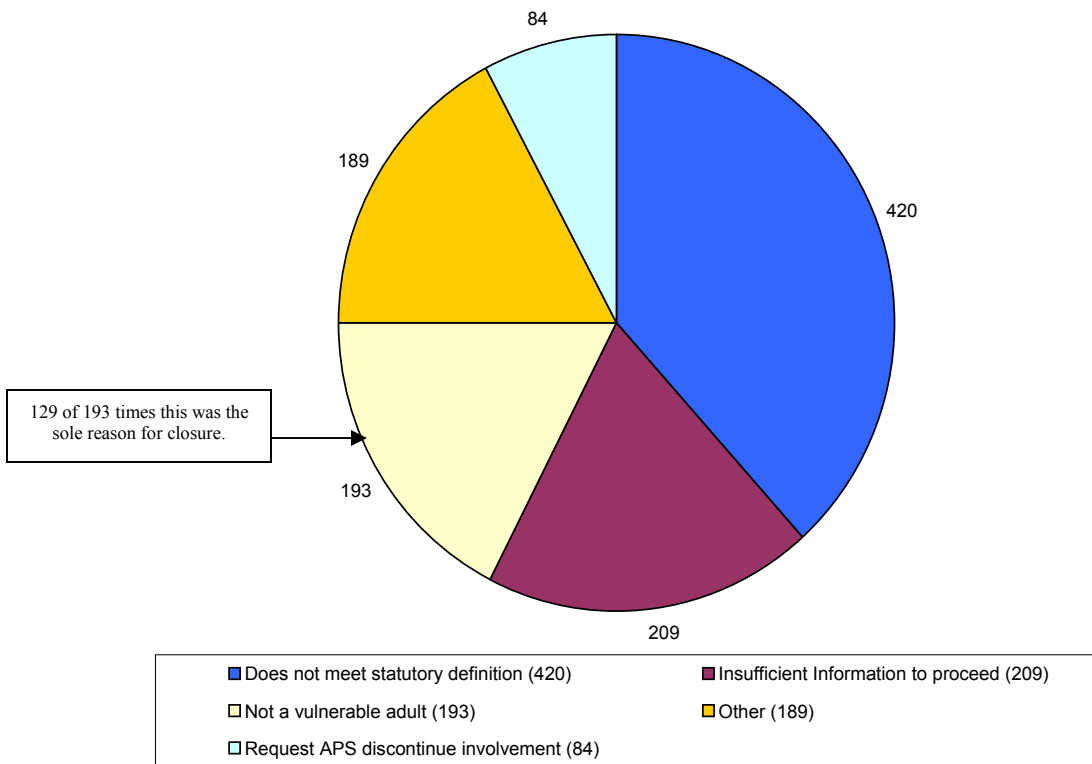
Division of Licensing and Protection, Adult Protective Services Flow Chart



SFY07 APS Reports

# of Reporters	2065
# of Reports	1913
# of Closed Screenings	1064
# of Assigned Investigations	849 including self neglect reports under 60 yrs old
# of Substantiated Perpetrators	62
# of Investigations Unresolved	87
# of Self Neglect Reports	216 (155 AAA, 61 APS)
# of Self Neglect Investigations Unresolved	7

Closed Screenings (1064)



* Some Closed Screenings had multiple reasons for closure

Abuse Prevention Initiatives

APS convened a work group called the APS Forum. The group included a diverse mix of interested advocates, service providers and state agency staff to identify challenges and potential actions to address adult abuse prevention. The group met monthly through January 2006. From this group came recommendations and APS initiated the following:

DAIL contracted with Northeast Kingdom Home Care in Newport, Vermont for crisis intervention for vulnerable adults, particularly for after hours and weekends or holidays. They served one person to date. There are plans to expand the demonstration territory to Chittenden County.

APS investigators have combined forces with the Medicaid Fraud Unit to provide education opportunities to licensed nurses, nurses aides, caregivers, adult day centers, residential care homes, transportation companies, home care providers, medical schools, law enforcement agencies, and the general public on reporting, detection, and prevention measures related to abuse, neglect and exploitation. Chapter 69 Title 33 of the Vermont State Statute is clearly identified throughout the content threaded with real examples cases and situations that have been investigated and applicable to the individual audience.

APS attributes a portion of the recent rise in reported financial exploitation cases to the education programs and video production distribution efforts to identity, report, and prevent such cases provided to local banks. APS and MFU have worked as a team to investigate and bring action against perpetrators of these offenses.

APS added a seventh investigator in response to the rapid growth in reported abuse, neglect, and exploitation cases and the increased acuity of the allegations. APS has examined data that cross reference concentrations and responded by more flexibility in territory response and improved prevention methods through education and public presence.

Case Histories

The following examples illustrate the types of protective and other services coordinated or provided by APS investigators. These examples are a compilation of various cases to protect the identity and privacy of anyone involved in the investigation.

Case # 1: APS received a report that a 50 year old vulnerable adult was attacked by his former girlfriend with a knife during a domestic disturbance. The alleged victim called 911, the police responded and the girlfriend was removed with a struggle from the home. APS investigators

found a man who uses a wheelchair as the result of a catastrophic motorcycle accident, covered with multiple stab wounds. The home had evidence of a bullet hole in the roof, knife marks in the floor and furniture was damaged. Interviews with the victim revealed that the girlfriend knocked the victim out of his wheelchair to render him helpless. The alleged victim also shared that he carried a gun in his wheelchair to protect himself and fired it at his girlfriend during the altercation. The investigator collaborated with the local police department and developed a protective plan for the alleged victim. Referrals were made to the local home health and mental health agencies and service plans were developed to enable this man to safely remain in his home. The investigation is ongoing.

Case # 2: APS received several reports that an 86 year old wealthy male individual was being exploited by a felon. The report stated that the alleged perpetrator conned his victims by befriending them and taking their money. Investigators found evidence through bank records that the alleged perpetrator was driving the alleged victim to the bank and instructing him to withdraw thousands of dollars weekly for use by the perpetrator. It was also reported that the local home health agency was concerned about providing services due to reported risk of weapons in the home, available to a known felon. Investigators teamed up with local law enforcement agencies, the home health agency, community members, department legal staff, and local banks to develop a safe environment, and assess the medical, social, financial, and guardianship needs through Temporary Restraining Orders (TROs) and court hearings to determine the level of assistance this man needed to remain independent in his own home. The outcome of the investigation is pending.

Allegations by Type of Abuse			
Type of Abuse	Number of Allegations contained in 1913 Reports	# Allegations contained in 849 Investigations	# of Allegations contained in 60 Substantiated Cases
Physical Abuse	424	215	20
Emotional Abuse	574	243	20
Sexual Abuse/Sexual Exploitation	136	54	2
Neglect	438	315	13
Financial Exploitation	624	341	35
Self Neglect	272	70	N/A
Totals	2468	1238	90

* Cases may have multiple allegations--849 investigations includes 61 self neglect cases

Reports referred to Other Agencies (excluding 87 open Investigations)					
Total # CASES referred for investigation by Law Enforcement or Regulatory Review		# of CASES with Social or Protective Service Referrals			
	500		698		
Attorney General/MFU	310	DAIL/Vocational Rehabilitation	5	Type of Assistance	
Police (State and Local)	214	Battered Women's Network	18	Referred for Legal Assistance	31
Vermont Protection & Advocacy	0	Home Health	122	Assist with Guardianship	20
Office of Professional Regulations	15	Mental Health	171	Assist with Restraining Orders	55
DLP Survey and Certification	16	Area Agency on Aging	249	Technical Assistance(Prevention)	243
		Vermont Center for Independent Living	2	Other Referrals	199
		Choices for Care	43		
# of Investigative REFERRALS made	555	# of Social Service REFERRALS made	610	# of Protective Service REFERRALS made	548

*Cases may have multiple referrals

Victim's Relationship to Reporter			
Types of Reporters	Reporters	Reporters in Investigations	Reporters in Substantiations
Non Family Community Members	319	177	13
Home Health Staff	236	101	11
AAA Staff	130	82	7
Ombudsman	5	3	0
MH Staff	203	72	4
Family/Relative	214	120	6
Nursing Home Staff	197	85	5
Hospital Staff	170	70	8
DD Staff	125	69	11
Victim Self Report	159	39	4
Friend/Neighbor	83	39	1
Other Medical Staff	39	19	1
Residential Care Home Staff	26	18	1
Police	44	23	3
Anonymous	88	46	0
Social Worker	0	0	0
Other	0	0	0
School Personnel	16	10	1
Bank/Financial Institution	6	4	0
Landlord	4	3	1
Women's Shelter	0	0	0
Parole, Probation	1	1	0
Total	2065	981	77
Victim's Relationship to Perpetrator			
Type of Relationship	Type of Perpetrator for Reports	Perpetrator in Investigations	Perpetrator in Substantiations
Family Members	635	352	27
Neighbor/Friend	270	113	9
Non-Family Caregiver	93	54	9
Developmental Home Provider	45	26	4
Nursing Home Staff	151	95	2
Mental Health/DD Employee	41	11	0
Other	175	63	8
Licensed Residential Home Staff	40	35	2
Payee/POA	0	0	0
Medicaid Waiver/ASP Caregiver	1	1	0
Business	0	0	0
Unknown	207	56	0
Landlord	29	8	0
Legal Guardian	23	13	2
HHA Staff	24	12	0
Hospital Staff	47	27	0
Co-Worker	0	0	0
Self Neglect	268	67	0
AAA Staff	2	0	0
Total	2051	933	63
			62 distinct perpetrators
Victim's Age Group			
	Victim's Age in Reports	Victim's Age in Investigations	Victim's Age in Substantiations
less than 18	1	0	0
18-34	240	111	12
35-54	361	161	9
55-64	195	85	10
65-74	244	95	4
75-84	344	175	14
85-94	199	113	10
95+	31	21	1
Missing Age Information	386	144	2
Total	2001	905	62
Victim's Disability			
Type of Disability	Victim's Disability in Reports	Victims Disability in Investigations	Victims Disability in Substantiations
MR/DD	253	139	17
MI	356	124	4
MR/DD/MI	68	38	1
Physical	601	328	23
Other Disease	206	83	8
Dementia	200	127	9
Unknown	8	0	0
None	99	24	0
Missing Disability Information	210	42	0
Total	2001	905	62
Victim's Living Arrangement			
Type of Living Arrangement	Victim's Living Arrangement in Reports	Victim's Living Arrangement in Investigations	Victim's Living Arrangement in Substantiations
Own Home	1064	424	30
Boarding Home	23	17	2
Residential Care Home	80	61	5
Nursing Home	265	142	7
Hospital	25	12	0
Relative's Home	205	120	10
Homeless	29	14	0
TCR	4	1	0
Psychiatric Facility	18	3	0
Developmental Home	103	51	6
Other	84	34	2
Missing Living Arrangement Information	101	26	0
Total	2001	905	62
* Cases may have multiple reporters, multiple perpetrators and multiple victims			

Victim's Relationship to Reporter							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Types of Reporters							
Non Family Community Members	62	80	17	75	86	74	394
Home Health Staff	27	37	1	57	81	61	264
AAA Staff	12	42	2	22	70	13	161
Ombudsman	2	1	0	1	2	0	6
MH Staff	64	49	38	15	47	13	226
Family/Relative	24	56	7	66	98	14	265
Nursing Home Staff	88	56	10	51	25	9	239
Hospital Staff	41	36	18	35	39	37	206
DD Staff	50	44	21	28	23	1	167
Victim Self Report	17	95	7	16	45	1	181
Friend/Neighbor	15	21	3	12	28	24	103
Other Medical Staff	6	15	2	8	5	12	48
Residential Care Home Staff	4	4	4	6	10	1	29
Police	6	7	4	6	15	14	52
Anonymous	15	17	1	42	25	15	115
Social Worker	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0
School Personnel	6	3	5	6	0	0	20
Bank/Financial Institution	0	0	0	0	4	2	6
Landlord	1	0	0	0	1	2	4
Women's Shelter	0	0	0	0	0	0	0
Parole, Probation	1	0	0	0	0	0	1
Total**	441	563	140	446	604	293	2487
**Multiple reporters for the 2468 allegations in 1913 Reports							
Victim's Relationship to Perpetrator							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Relationship							
Family Members	127	219	19	179	264	0	808
Neighbor/Friend	67	87	45	11	123	1	334
Non-Family Caregiver	11	19	7	38	41	0	116
Developmental Home Provider	21	14	3	26	8	0	72
Nursing Home Staff	72	41	0	57	6	0	176
Mental Health/DD Employee	6	18	4	13	5	0	46
Other	35	64	25	19	68	0	211
Licensed Residential Home Staff	16	11	1	13	7	0	48
Payee/POA	0	0	0	0	0	0	0
Medicaid Waiver/ASP Caregiver	0	0	0	0	1	0	1
Business	0	0	0	0	0	0	0
Unknown	44	54	21	41	64	0	224
Landlord	3	19	1	1	9	0	33
Legal Guardian	4	3	0	11	15	0	33
HHA Staff	2	7	0	5	12	0	26
Hospital Staff	16	18	10	24	1	0	69
Co-Worker	0	0	0	0	0	0	0
Self	0	0	0	0	0	271	271
Total	424	574	136	438	624	272	2468
Victim's Age Group							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
less than 18	0	0	0	0	1	0	1
18-34	73	75	50	42	48	5	293
35-54	85	110	32	54	91	38	410
55-64	26	55	4	27	74	35	221
65-74	39	62	6	35	74	53	269
75-84	44	93	9	68	118	53	385
85-94	29	37	3	55	63	33	220
95+	8	6	0	8	10	3	35
Missing Age Information	94	89	23	95	76	50	427
Total	398	527	127	384	555	270	2261
Victim's Disability							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Disability							
MR/DD	73	70	36	56	57	13	305
MI	63	111	45	32	84	63	398
MR/DD/MI	16	19	14	12	14	8	83
Physical	85	157	8	142	186	88	666
Other Disease	41	52	1	48	68	32	242
Dementia	38	27	6	54	59	45	229
Unknown	0	2	0	0	3	3	8
None	17	40	3	8	37	4	109
Missing Disability Information	65	49	14	32	47	14	221
Total	398	527	127	384	555	270	2261
Victim's Living Arrangement							
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect	
Type of Living Arrangement							
Own Home	140	290	40	152	346	211	1179
Boarding Home	3	4	2	7	7	3	26
Residential Care Home	15	20	8	17	23	5	88
Nursing Home	98	61	13	77	42	2	293
Hospital	7	8	6	8	0	0	29
Relative's Home	54	65	14	54	54	16	257
Homeless	2	3	3	3	8	13	32
TCR	1	1	2	0	0	0	4
Psychiatric Facility	8	6	5	2	2	0	23
Developmental Home	36	33	15	31	12	1	128
Other	15	19	10	13	32	11	100
Missing Living Arrangement Information	19	17	9	20	29	8	102
Total	398	527	127	384	555	270	2261

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Friend/Neighbor	83	39	1
Other Medical Staff	39	19	1
Residential Care Home Staff	26	18	1
Police	44	23	3
Anonymous	88	46	0
Social Worker	0	0	0
Other	0	0	0
School Personnel	16	10	1
Bank/Financial Institution	6	4	0
Landlord	4	3	1
Women's Shelter	0	0	0
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Unknown	207	56	0
Landlord	29	8	0
Legal Guardian	23	13	2
HHA Staff	24	12	0
Hospital Staff	47	27	0
Co-Worker	0	0	0
Self Neglect	268	67	0
AAA Staff	2	0	0
Total	2051	933	63
62 distinct perpetrators			
Victim's Age Group			
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* Cases may have multiple reporters, multiple perpetrators and multiple victims			

Victim's Relationship to Reporter						
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect
Types of Reporters						
Non Family Community Members	5	2	0	2	8	17
Home Health Staff	5	4	0	3	6	18
AAA Staff	2	2	0	1	4	9
Ombudsman	0	0	0	0	0	0
MH Staff	0	1	0	0	3	4
Family/Relative	2	3	0	0	3	8
Nursing Home Staff	1	0	0	1	4	6
Hospital Staff	1	2	0	3	4	10
DD Staff	4	7	2	2	5	20
Victim Self Report	1	1	0	0	2	4
Friend/Neighbor	1	0	0	0	0	1
Other Medical Staff	1	1	0	0	0	2
Residential Care Home Staff	1	1	0	0	0	2
Police	0	0	0	1	2	3
Anonymous	0	0	0	0	0	0
Social Worker	0	0	0	0	0	0
Other	0	0	0	0	0	0
School Personnel	1	0	0	1	0	2
Bank/Financial Institution	0	0	0	0	0	0
Landlord	1	0	0	0	0	1
Women's Shelter	0	0	0	0	0	0
Parole, Probation	0	0	0	0	0	0
Total**	26	24	2	14	41	107
**Multiple Reporters for 90 Allegations in the 60 Substantiated Cases						
Victim's Relationship to Perpetrator						
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect
Type of Relationship						
Family Members	8	10	0	6	14	38
Neighbor/Friend	3	2	1	0	6	12
Non-Family Caregiver	4	1	0	3	3	11
Developmental Home Provider	0	2	1	2	3	8
Nursing Home Staff	0	0	0	1	1	2
Mental Health/DD Employee	0	0	0	0	0	0
Other	3	4	0	0	6	13
Licensed Residential Home Staff	1	1	0	0	1	3
Payee/POA	0	0	0	0	0	0
Medicaid Waiver/ASP Caregiver	0	0	0	0	0	0
Business	0	0	0	0	0	0
Unknown	0	0	0	0	0	0
Landlord	0	0	0	0	0	0
Legal Guardian	1	0	0	1	1	3
HHA Staff	0	0	0	0	0	0
Hospital Staff	0	0	0	0	0	0
Co-Worker	0	0	0	0	0	0
Self	0	0	0	0	0	0
Total	20	20	2	13	35	90
Victim's Age Group						
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect
less than 18	0	0	0	0	0	0
18-34	5	6	2	4	5	22
35-54	4	6	0	2	4	16
55-64	3	2	0	1	5	11
65-74	2	2	0	1	2	7
75-84	2	2	0	4	10	18
85-94	2	2	0	1	8	13
95+	1	0	0	0	0	1
Missing Age Information	1	0	0	0	1	2
Total	20	20	2	13	35	90
Victim's Disability						
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect
Type of Disability						
MR/DD	7	8	2	5	8	30
MI	0	1	0	0	3	4
MR/DD/MI	1	1	0	0	0	2
Physical	7	4	0	3	14	28
Other Disease	3	3	0	3	5	14
Dementia	2	3	0	2	5	12
Unknown	0	0	0	0	0	0
None	0	0	0	0	0	0
Missing Disability Information	0	0	0	0	0	0
Total	20	20	2	13	35	90
Victim's Living Arrangement						
	Physical Abuse	Emotional Abuse	Sexual Abuse	Neglect	Financial Exploitation	Self Neglect
Type of Living Arrangement						
Own Home	11	9	1	4	17	42
Boarding Home	1	0	0	0	1	2
Residential Care Home	2	2	0	1	3	8
Nursing Home	1	1	0	3	4	9
Hospital	0	0	0	0	0	0
Relative's Home	4	4	0	2	6	16
Homeless	0	0	0	0	0	0
TCR	0	0	0	0	0	0
Psychiatric Facility	0	0	0	0	0	0
Developmental Home	1	4	0	3	3	11
Other	0	0	1	0	1	2
Missing Living Arrangement Information	0	0	0	0	0	0
Total	20	20	2	13	35	90

SFY 07 Facility/Agency Reports of Abuse, Neglect and Exploitation					
			Abuse	Neglect	Exploitation
# of Reports	545		392	136	60
# of Allegations contained in 545 Reports	991		785	146	60
# of Substantiated Allegations	247		228	7	12
# of Unsubstantiated Allegations	705		534	128	43
# Unresolved Allegations	39		23	11	5
Total	991		785	146	60

# of Perpetrator's substantiated under APS	5
# of Allegations substantiated under APS	6

Facility/Agency Allegations by Type and Disposition				
Exploitation	Sub	Unsub	Open	Total
Misuse of Residents Personal Funds	1	4	1	6
Missing Personal Property/medicines	11	39	4	54
Total Exploitation by Disposition	12	43	5	60
Abuse				
Resident to Resident	99	44	1	144
Employee to Resident	17	202	10	229
Family/Visitor to Resident	0	0	0	0
Sexual	16	42	0	58
Verbal	19	81	3	103
Mental	1	26	2	29
Physical	73	116	3	192
Other/NA	3	23	4	30
Total Abuse by Disposition	228	534	23	785
Neglect				
Injury of Unknown Origin	0	1	0	1
Assess/Monitor	4	34	1	39
Medications	0	15	3	18
Pressure Sores	0	4	0	4
Other/NA	3	74	7	84
Total Neglect by Disposition	7	128	11	146

Facility Agency Allegations by Provider Type				
By Provider Category	Abuse	Neglect	Exploitation	Total
NH	470	19	90	579
HHA	12	19	8	39
Hosp	81	26	1	108
RCH/TCR/AL/NH	151	21	17	189
VSH	69	2	0	71
Other: Hospice, ESRD	2	0	3	5
Total by Provider	785	87	119	991